

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Docket Number</b> 04-6175		<b>Application Number</b> 10/766,965			
		<b>Applicants</b> Thomas J. DALEY, et al.					
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<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
<b>NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		Daisuke Ochiai, "Electronic Finance and Security Trading, 'London Stock Exchange Transfers to New Trading System'", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.					
		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).					
		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).					
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).					
Examiner Signature					Date Considered		